

# CHAPTER 13 GRAPH ALGORITHMS

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ACKNOWLEDGEMENT: THESE SLIDES ARE ADAPTED FROM SLIDES PROVIDED WITH DATA STRUCTURES AND ALGORITHMS IN C++, GOODRICH, TAMASSIA AND MOUNT (WILEY 2004) AND SLIDES FROM NANCY M. AMATO

## GRAPH

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• A graph is a pair G = (V, E), where

- V is a set of nodes, called vertices
- E is a collection of pairs of vertices, called edges
- Vertices and edges can store arbitrary elements
- Example:
  - A vertex represents an airport and stores the three-letter airport code
  - An edge represents a flight route between two airports and stores the mileage of the route



# EDGE & GRAPH TYPES

### • Edge Types

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- Directed edge
  - ordered pair of vertices (u, v)
  - first vertex u is the origin/source
  - second vertex v is the destination/target
  - e.g., a flight
- Undirected edge
  - unordered pair of vertices (u, v)
  - e.g., a flight route
- Weighted edge
- Graph Types
  - Directed graph (Digraph)
    - all the edges are directed
    - e.g., route network
  - Undirected graph
    - all the edges are undirected
    - e.g., flight network
  - Weighted graph
    - all the edges are weighted



## APPLICATIONS

- Electronic circuits
  - Printed circuit board
  - Integrated circuit
- Transportation networks
  - Highway network
  - Flight network
- Computer networks
  - Local area network
  - Internet
  - Web
- Databases
  - Entity-relationship diagram



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- End points (or end vertices) of an edge
  - U and V are the endpoints of a
- Edges incident on a vertex
  - a, d, and b are incident on V
- Adjacent vertices
  - U and V are adjacent
- Degree of a vertex
  - X has degree 5
- Parallel (multiple) edges
  - *h* and *i* are parallel edges
- Self-loop
  - *j* is a self-loop



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- Outgoing edges of a vertex
  - h and b are the outgoing edges of X
- Incoming edges of a vertex
  - e, g, and i are incoming edges of X
- In-degree of a vertex
  - X has in-degree 3
- Out-degree of a vertex
  - X has out-degree 2



#### Path

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- Sequence of alternating vertices and edges
- Begins with a vertex
- Ends with a vertex
- Each edge is preceded and followed by its endpoints
- Simple path
  - Path such that all its vertices and edges are distinct
- Examples
  - $P_1 = \{V, b, X, h, Z\}$  is a simple path
  - $P_2 = \{U, c, W, e, X, g, Y, f, W, d, V\}$  is a path that is not simple



### Cycle

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- Circular sequence of alternating vertices and edges
- Each edge is preceded and followed by its endpoints

### Simple cycle

- Cycle such that all its vertices and edges are distinct
- Examples
  - $C_1 = \{V, b, X, g, Y, f, W, c, U, a, V\}$  is a simple cycle
  - $C_2 = \{U, c, W, e, X, g, Y, f, W, d, V, a, U\}$  is a cycle that is not simple



# EXERCISE ON TERMINOLOGY

1. Number of vertices?

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- 2. Number of edges?
- 3. What type of the graph is it?
- 4. Show the end vertices of the edge with largest weight
- 5. Show the vertices of smallest degree and largest degree
- 6. Show the edges incident to the vertices in the above question
- 7. Identify the shortest simple path from HNL to PVD
- 8. Identify the simple cycle with the most edges



### EXERCISE PROPERTIES OF UNDIRECTED GRAPHS

• Property 1 – Total degree  $\Sigma_v deg(v) = ?$ 

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- Property 2 Total number of edges
  - In an undirected graph with no selfloops and no multiple edges
     m ≤ Upper Bound?
     Lower Bound? ≤ m

Notation

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- n number of vertices
- m number of edges
- deg(v) degree of vertex v



## EXERCISE PROPERTIES OF UNDIRECTED GRAPHS

Property 1 – Total degree  $\Sigma_v deg(v) = 2m$ 

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- Property 2 Total number of edges
  - In an undirected graph with no self-loops and no multiple edges

 $m \le \frac{n(n-1)}{2}$  $0 \le m$ 

Proof: Each vertex can have degree at most (n-1)

Notation

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- n
  - *m* number of edges
- deg(*v*)
- degree of vertex v

number of vertices



## EXERCISE PROPERTIES OF DIRECTED GRAPHS

 Property 1 – Total in-degree and outdegree

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- $\Sigma_v in \deg(v) =?$  $\Sigma_v out - \deg(v) =?$
- Property 2 Total number of edges
   In an directed graph with no self-loops and no multiple edges m ≤ UpperBound? LowerBound? ≤ m

- Notation
  - n
  - *m*
- number of vertices
- number of edges
- deg(v) degree of vertex v



A graph with given number of vertices (4) and maximum number of edges

## EXERCISE PROPERTIES OF DIRECTED GRAPHS

 Property 1 – Total in-degree and outdegree

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- $\Sigma_{v}in \deg(v) = m$  $\Sigma_{v}out - \deg(v) = m$
- Property 2 Total number of edges
  - In an directed graph with no self-loops and no multiple edges  $m \le n(n-1)$  $0 \le m$

- Notation
  - n
  - *m*
- number of vertices number of edges
- deg(v) degree of vertex v



- n = 4
  - *m* = 12
  - $\deg(v) = 6$

A graph with given number of vertices (4) and maximum number of edges

# SUBGRAPHS

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- A subgraph S of a graph G is a graph such that
  - The vertices of S are a subset of the vertices of G
  - The edges of S are a subset of the edges of G
- A spanning subgraph of G is a subgraph that contains all the vertices of G



# CONNECTIVITY

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- A graph is connected if there is a path between every pair of vertices
- A connected component of a graph
   G is a maximal connected subgraph
   of G



# TREES AND FORESTS

- A (free) tree is an undirected graph T such that
  - T is connected

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- T has no cycles
- This definition of tree is different from the one of a rooted tree
- A forest is an undirected graph without cycles
- The connected components of a forest are trees



Forest

# SPANNING TREES AND FORESTS

• A spanning tree of a connected graph is a spanning subgraph that is a tree

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- A spanning tree is not unique unless the graph is a tree
- Spanning trees have applications to the design of communication networks
- A spanning forest of a graph is a spanning subgraph that is a forest



## GRAPH ADT

- Vertices and edges are positions and store elements
- Vertex ADT

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- operator \* ( )
- incidentEdges( )
- isAdjacentTo(v)
- Edge ADT
  - operator \* ( )
  - endVertices( )
  - opposite(v)
  - isAdjacentTo(*f*)
  - isIncidentOn(*v*)
  - isDirected( )
  - origin( )
  - dest( )

- Graph ADT
  - vertices( )
  - edges( )
  - insertVertex(*x*)
  - insertEdge(v, w, x)
  - insertDirectedEdge(v, w, x)
  - eraseVertex(v)
  - eraseEdge(*e*)
- Many more generic/accessor methods
- Lists of entities provide iterators

## EXERCISE ON ADT

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ord.incidentEdges()
 ord.adjacentVertices()
 ord.degree()
 (lga,mia).endVertices()
 (dfw,lga).opposite(dfw)
 dfw.isAdjacentTo(sfo)

7. insertVertex(*iah*)
8. insertEdge(*mia*, *pvd*, 1200)
9. eraseVertex(*ord*)
10. eraseEdge(*dfw*, *ord*)
11. (*dfw*, *lga*). isDirected()
12. (*dfw*, *lga*). origin()
13. (*dfw*, *lga*). dest()



# EDGE LIST STRUCTURE

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 An edge list can be stored in a sequence, a vector, a list or a dictionary such as a hash table



## EXERCISE EDGE LIST STRUCTURE

• Construct the edge list for the following graph



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## ASYMPTOTIC PERFORMANCE EDGE LIST STRUCTURE

<ul> <li><i>n</i> vertices, <i>m</i> edges</li> <li>No parallel edges</li> <li>No self-loops</li> </ul>	Edge List
Space	?
endVertices(), opposite(), isIncidentOn(v)	?
<pre>v.incidentEdges(), v.isAdjacentTo(w)</pre>	?
insertVertex( $x$ ), insertEdge( $u, v, w$ ), eraseEdge( $e$ )	?
eraseVertex(v)	?



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## ASYMPTOTIC PERFORMANCE EDGE LIST STRUCTURE

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<ul> <li><i>n</i> vertices, <i>m</i> edges</li> <li>No parallel edges</li> <li>No self-loops</li> </ul>	Edge List
Space	O(n+m)
endVertices(), opposite(), isIncidentOn(v)	0(1)
<pre>v.incidentEdges(), v.isAdjacentTo(w)</pre>	O(m)
insertVertex $(x)$ , insertEdge $(u, v, w)$ , eraseEdge $(e)$	0(1)
eraseVertex(v)	O(m)



## EDGE LIST STRUCTURE

• Vertex object

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- element
- reference to position in vertex sequence
- Edge object
  - element
  - origin vertex object
  - destination vertex object
  - reference to position in edge sequence
- Vertex sequence
  - sequence of vertex objects
- Edge sequence
  - sequence of edge objects







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## EXERCISE ADJACENCY LIST STRUCTURE

Construct the adjacency list for the following graph



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## ASYMPTOTIC PERFORMANCE ADJACENCY LIST STRUCTURE

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<ul> <li><i>n</i> vertices, <i>m</i> edges</li> <li>No parallel edges</li> <li>No self-loops</li> </ul>	Adjacency List	Adjacency List ORD-(ORD, PVD)-(ORD, DFW)
Space	?	LGA (LGA, PVD) (LGA, MIA) (LGA, DFW
endVertices(), opposite(), isIncidentOn(v)	?	PVD-(PVD, ORD)-(PVD, LGA) DFW-(DFW, ORD)-(DFW, LGA)-(DFW, MIA
<pre>v.incidentEdges(), v.isAdjacentTo(w)</pre>	?	MIA (MIA, LGA) (MIA, DFW)
insertVertex( $x$ ), insertEdge( $u, v, w$ ), eraseEdge( $e$ )	?	
eraseVertex( $v$ )	?	

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## ASYMPTOTIC PERFORMANCE ADJACENCY LIST STRUCTURE

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<ul> <li><i>n</i> vertices, <i>m</i> edges</li> <li>No parallel edges</li> <li>No self-loops</li> </ul>	Adjacency List	Adjacency List ORD (ORD, PVD) (ORD, DFW)
Space	O(n+m)	LGA (LGA, PVD) (LGA, MIA) (LGA, DFW)
endVertices(), opposite(), isIncidentOn(v)	0(1)	PVD-(PVD, ORD)-(PVD, LGA) DFW-(DFW, ORD)-(DFW, LGA)-(DFW, MIA)
<pre>v.incidentEdges(), v.isAdjacentTo(w)</pre>	$O(\deg(v))$ $O(\min(\deg(v), \deg(w)))$	MIA (MIA, LGA) (MIA, DFW)
<pre>insertVertex(x), insertEdge(u, v, w), eraseEdge(e)</pre>	0(1)	
eraseVertex(v)	$O(\deg(v))$	

# ADJACENCY LIST STRUCTURE

- Store vertex sequence and edge sequence
- Each vertex stores a sequence of incident edges
  - Sequence of references to edge objects of incident edges
- Augmented edge objects

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 References to associated positions in incidence sequences of end vertices



# ADJACENCY MATRIX STRUCTURE



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 Adjacency matrices store edges in a table, indexed by the vertex



## EXERCISE ADJACENCY MATRIX STRUCTURE

• Construct the adjacency matrix for the following graph



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# ADJACENCY MATRIX STRUCTURE IN A WEIGHTED GRAPH

	0 ORD	1 LGA	2 PVD	3 DFW	4 MIA
0 ORD	0	0	849	802	0
1 LGA	0	0	142	1387	1099
2 PVD	849	142	0	0	0
3 DFW	802	138	0	0	1120
4 O MIA	0	1099	0	1120	0

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 Store edge object/property in table, or include a pointer to it inside of the table



EXERCISE ADJACENCY MATRIX STRUCTURE: WEIGHTED DIGRAPH



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EXERCISE ADJACENCY MATRIX STRUCTURE: WEIGHTED DIGRAPH

0 1 2 3 4 ORD LGA PVD DFW MIA

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0 ORD	0	0	849	0	0
1 LGA	0	0	0	1387	1099
2 PVD	0	142	0	0	0
3 DFW	802	0	0	0	0
4 O MIA	0	0	0	1120	0



# ASYMPTOTIC PERFORMANCE OF ADJACENCY MATRIX STRUCTURE

<ul> <li><i>n</i> vertices, <i>m</i> edges</li> <li>No parallel edges</li> <li>No self-loops</li> </ul>	Adjacency Matrix
Space	?
endVertices(), opposite(), isIncidentOn(v), v.isAdjacentTo(w)	?
v.incidentEdges()	?
insertEdge( <i>u, v, w</i> ), eraseEdge( <i>e</i> )	?
insertVertex $(x)$ , eraseVertex $(v)$	?

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0 1 2 3 4

0	0	0	1	1	0
1	0	0	1	1	1
2	1	1	0	0	0
3	1	1	0	0	1
4	0	1	0	1	0

# ASYMPTOTIC PERFORMANCE OF ADJACENCY MATRIX STRUCTURE

<ul> <li><i>n</i> vertices, <i>m</i> edges</li> <li>No parallel edges</li> <li>No self-loops</li> </ul>	Adjacency Matrix
Space	$O(n^2)$
endVertices(), opposite(), isIncidentOn(v), v.isAdjacentTo(w)	0(1)
v.incidentEdges()	O(n)
insertEdge( <i>u, v, w</i> ), eraseEdge( <i>e</i> )	0(1)
insertVertex $(x)$ , eraseVertex $(v)$	$O(n^2)$

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0 1 2 3 4

)	0	0	1	1	0
1	0	0	1	1	1
2	1	1	0	0	0
3	1	1	0	0	1
1	0	1	0	1	0

# ADJACENCY MATRIX STRUCTURE

• Augmented vertex objects

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- Integer key (index) associated with vertex
- 2D-array adjacency array
  - Reference to edge object for adjacent vertices
  - Null for non nonadjacent vertices
- The "old fashioned" version just has 0 for no edge and 1 for edge



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# ASYMPTOTIC PERFORMANCE

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<ul> <li><i>n</i> vertices, <i>m</i> edges</li> <li>No parallel edges</li> <li>No self-loops</li> </ul>	Edge List	Adjacency List	Adjacency Matrix
Space	O(n+m)	O(n+m)	$O(n^2)$
endVertices(), opposite(), isIncidentOn(v)	0(1)	0(1)	0(1)
v.incidentEdges()	O(m)	$O(\deg(v))$	O(n)
v.isAdjacentTo(w)	O(m)	$O(\min(\deg(v), \deg(w)))$	0(1)
insertEdge( <i>u</i> , <i>v</i> , <i>w</i> ), eraseEdge( <i>e</i> )	0(1)	0(1)	0(1)
insertVertex(x)	0(1)	0(1)	$O(n^2)$
eraseVertex(v)	O(m)	$O(\deg(v))$	$O(n^2)$



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# DEPTH-FIRST SEARCH



## DEPTH-FIRST SEARCH

- Depth-first search (DFS) is a general technique for traversing a graph
- A DFS traversal of a graph G
  - Visits all the vertices and edges of G
  - Determines whether G is connected
  - Computes the connected components of G
  - Computes a spanning forest of G



- DFS on a graph with n vertices and m edges takes O(n + m) time
- DFS can be further extended to solve other graph problems
  - Find and report a path between two given vertices
  - Find a cycle in the graph
- Depth-first search is to graphs what Euler tour is to binary trees

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# DFS AND MAZE TRAVERSAL

- The DFS algorithm is similar to a classic strategy for exploring a maze
  - We mark each intersection, corner and dead end (vertex) visited
  - We mark each corridor (edge) traversed
  - We keep track of the path back to the entrance (start vertex) by means of a rope (recursion stack)



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## DFS ALGORITHM

The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

### Algorithm DFS(G)

Input: Graph G

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**Output:** Labeling of the edges of G as discovery edges

and back edges

- for each  $v \in G$ .vertices() do 1.
  - v.setLabel(UNEXPLORED)
- 3. for each  $e \in G$ .edges() do
- 4. e.setLabel(UNEXPLORED)
- 5. for each  $v \in G$ .vertices() do
- if v.getLabel() = UNEXPLORED 7.
  - DFS(G, v)





## EXERCISE DFS ALGORITHM

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• Perform DFS of the following graph, start from vertex A

- Assume adjacent edges are processed in alphabetical order
- Number vertices in the order they are visited
- Label edges as discovery or back edges



## PROPERTIES OF DFS

Property 1

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- DFS(G, v) visits all the vertices and edges in the connected component of v
- Property 2
  - The discovery edges labeled by DFS(G, v) form a spanning tree of the connected component of v



# ANALYSIS OF DFS

- Setting/getting a vertex/edge label takes O(1) time
- Each vertex is labeled twice
  - once as UNEXPLORED
  - once as *VISITED*

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- Each edge is labeled twice
  - once as UNEXPLORED
  - once as *DISCOVERY* or *BACK*







## ANALYSIS OF DFS

- DFS runs in O(n + m) time provided the graph is represented by the adjacency list structure
  - Recall that  $\Sigma_v \deg(v) = 2m$

### Algorithm DFS(G)

Input: Graph G

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**Output:** Labeling of the edges of G as discovery edges

and back edges

- for each  $v \in G$ .vertices() do O(n)
- **2.** *v*. setLabel(*UNEXPLORED*)
- **3.** for each  $e \in G$ .edges() do O(m)
- 4. *e*.setLabel(*UNEXPLORED*)
- **5.** for each  $v \in G$ .vertices() do O(n+m)
  - **if** v.getLabel() = UNEXPLORED
    - DFS(G, v)

6. 7.





### APPLICATION PATH FINDING

- We can specialize the DFS algorithm to find a path between two given vertices u and z using the template method pattern
- We call DFS(G, u) with u as the start vertex
- We use a stack S to keep track of the path between the start vertex and the current vertex
- As soon as destination vertex z is encountered, we return the path as the contents of the stack

Algorithm pathDFS(G, v, z) v. setLabel(VISITED) S. push(v)**3.** if v = z**return** *S*. elements() for each  $e \in v$ .incidentEdges() do 5. 6. **if** *e*.getLabel() = UNEXPLORED) 7.  $w \leftarrow e.$  opposite(v) 8. **if** *w*.getLabel() = *UNEXPLORED* 9. *e*.setLabel(*DISCOVERY*) 10. S. push(e) 11. pathDFS(G,w) 12. S.pop() 13. else 14. e.setLabel(BACK) 15. S.pop()

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## APPLICATION CYCLE FINDING

- We can specialize the DFS algorithm to find a simple cycle using the template method pattern
- We use a stack S to keep track of the path between the start vertex and the current vertex
- As soon as a back edge (v, w) is encountered, we return the cycle as the portion of the stack from the top to vertex w

Algorithm cycleDFS(G, v, z) v.setLabel(VISITED) 3. for each  $e \in v$ . incidentEdges() do **if** *e*.getLabel() = UNEXPLORED) 4. 5.  $w \leftarrow e.opposite(v)$ 6. S. push(e) 7. **if** w.getLabel() = UNEXPLORED 8. *e*.setLabel(*DISCOVERY*) 9. cycleDFS(G,w) 10. S.pop() 11. else 12.  $T \leftarrow \text{empty stack}$ 13. repeat T. push(S. top())14. 15. S.pop() **until** T.top() = w16. 17. return T. elements() 18. S.pop()

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# BREADTH-FIRST SEARCH



## BREADTH-FIRST SEARCH

- Breadth-first search (BFS) is a general technique for traversing a graph
- A BFS traversal of a graph G

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- Visits all the vertices and edges of G
- Determines whether G is connected
- Computes the connected components of G
- Computes a spanning forest of G

- BFS on a graph with n vertices and m edges takes O(n + m) time
- BFS can be further extended to solve other graph problems
  - Find and report a path with the minimum number of edges between two given vertices
  - Find a simple cycle, if there is one

EXAMPLE

A

A

unexplored vertex visited vertex unexplored edge discovery edge

− - ► cross edge



 $L_0$ **(** A  $L_1$ C **(**B)  $\left(\mathsf{D}\right)$ Ε F  $L_0$ **(** A  $\boldsymbol{L}_1$ D C B Е F

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# BFS ALGORITHM

 The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

#### Algorithm BFS(G)

Input: Graph G

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**Output:** Labeling of the edges and partition of the vertices of G

- **1.** for each  $v \in G$ .vertices() do
- **2.** *v*.setLabel(*UNEXPLORED*)
- **3.** for each  $e \in G$ .edges() do
- 4. *e*.setLabel(*UNEXPLORED*)
- **5.** for each  $v \in G$ .vertices() do
- **6.** if v.getLabel() = UNEXPLORED
- **7.** BFS(G, v)

Algorithm BFS(G, s) 1.  $L_0 \leftarrow \{s\}$ *2. s*.setLabel(*VISITED*)  $3. i \leftarrow 0$ **4.** while  $\neg L_i$ . empty() do 5.  $L_{i+1} \leftarrow \emptyset$ 6. for each  $v \in L_i$  do 7. for each  $e \in v$ .incidentEdges() do 8. if e.getLabel() = UNEXPLORED9.  $w \leftarrow e.$  opposite(v) 10. if w.getLabel() = UNEXPLORED11. e.setLabel(DISCOVERY) 12. w. setLabel(VISITED) 13.  $\overline{L_{i+1}} \leftarrow \overline{L_{i+1}} \cup \{w\}$ 14. else 15. e.setLabel(CROSS) **16.**  $i \leftarrow i + 1$ 

## EXERCISE BFS ALGORITHM

• Perform BFS of the following graph, start from vertex A

- Assume adjacent edges are processed in alphabetical order
- Number vertices in the order they are visited and note the level they are in
- Label edges as discovery or cross edges



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## PROPERTIES

Notation

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- $G_s$ : connected component of s
- Property 1
  - BFS(G, s) visits all the vertices and edges of G<sub>s</sub>
- Property 2
  - The discovery edges labeled by BFS(G, s) form a spanning tree  $T_s$  of  $G_s$
- Property 3
  - For each vertex  $v \in L_i$ 
    - The path of  $T_s$  from s to v has i edges
    - Every path from s to v in G<sub>s</sub> has at least i edges





## ANALYSIS

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- Setting/getting a vertex/edge label takes O(1) time
- Each vertex is labeled twice
  - once as UNEXPLORED
  - once as VISITED
- Each edge is labeled twice
  - once as UNEXPLORED
  - once as DISCOVERY or CROSS
- Each vertex is inserted once into a sequence  $L_i$
- Method incidentEdges() is called once for each vertex
- BFS runs in O(n+m) time provided the graph is represented by the adjacency list structure
  - Recall that  $\Sigma_v \deg(v) = 2m$

## ANALYSIS OF BFS

 The algorithm uses a mechanism for setting and getting "labels" of vertices and edges

#### Algorithm BFS(G)

Input: Graph G

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**Output:** Labeling of the edges and partition of the vertices of G

- **1.** for each  $v \in G$ .vertices() do O(n)
- **2.** *v*. setLabel(*UNEXPLORED*)
- **3.** for each  $e \in G$ .edges() do O(m)
- **4.** *e*.setLabel(*UNEXPLORED*)
- **5.** for each  $v \in G$ .vertices() do O(n+m)
- **6.** if v.getLabel() = UNEXPLORED
- **7.** BFS(*G*, *v*)

Algorithm BFS(G, s) 1.  $L_0 \leftarrow \{s\}$ *2.* s. setLabel(*VISITED*)  $3. i \leftarrow 0$ **4.** while  $\neg L_i$ . empty() do 5.  $L_{i+1} \leftarrow \emptyset$ O(deg(v))for each  $v \in L_i$  do 6. 7. for each  $e \in v$ . incidentEdges() do 8. if e.getLabel() = UNEXPLORED9.  $w \leftarrow e.opposite(v)$ 10. if w.getLabel() = UNEXPLORED11. e.setLabel(DISCOVERY) 12. w.setLabel(VISITED) 13.  $L_{i+1} \leftarrow L_{i+1} \cup \{w\}$ 14. else 15. e.setLabel(CROSS) **16.**  $i \leftarrow i + 1$ 

## APPLICATIONS

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- Using the template method pattern, we can specialize the BFS traversal of a graph G to solve the following problems in O(n + m) time
  - Compute the connected components of G
  - Compute a spanning forest of G
  - Find a simple cycle in G, or report that G is a forest
  - Given two vertices of G, find a path in G between them with the minimum number of edges, or report that no such path exists

# DFS VS. BFS

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Applications	DFS	BFS
Spanning forest, connected components, paths, cycles	$\checkmark$	$\checkmark$
Shortest paths		$\checkmark$
Biconnected components	$\checkmark$	





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## DFS VS. BFS

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Back edge (v, w)

• w is an ancestor of v in the tree of discovery edges

### Cross edge (v, w)

 w is in the same level as v or in the next level in the tree of discovery edges



